PTO/SB/05 (08/03)

Approved for use through 07/31/2006, OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMP control.

UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

equired t	o respond to a collection	n of information unless it displays a valid OM	B control numb
Attorne	ey Docket No.	050119-1072	
First Ir	ventor	Martin Kelly Jones	
Title		OTIFICATION SYSTEM AND MEHICLE SIGNALING	ETHOD L
Expres	s Mail Label No.	EV260221475116	ण ठ

						33147303	<u>⊃</u> `©
APPLICATI See MPEP Chapter 600 conce	ents	ADDF	ESS	P.O Ale:	I Stop Patent Apminissioner for I Box 1450 xandria, VA 223	Patents 9768 313-1450	
Fee Transmittal Form (Submit an original, and decomposition)	(e.g., PTO/SB/17) a duplicate for fee processing)		7.		CD-ROM or CD-R Program (Appendi	in duplicate, la	arge table or Computer
-	Il entity status. See CFR 1.27		8.	Nucle (if app	otide and/or Amino olicable, all necessa	Acid Sequence	e Submission
3. Specification (preferred arrangement)	•	25	J	а.	Comp	uter Readable	Copy (CRF)
- Cross Referen - Statement Reg - Reference to so computer prog - Background of Brief Summany	of the Invention			b.	Specification Sequiple i. ii.	· ·	n: CD-R (2 copies); or
 Brief Description Detailed Description 	on of the Drawings (if filed)			с. [Statem	nents verifying	identity of above copies
- Claim(s)				ACC	OMPANYING	APPLICA	ATION PARTS
- Abstract of the	Disclosure		9.		Assignment Paper	rs (cover sheet	& Documents(s))
4. X Drawing(s) (35 USC 1	[Total Sheets	7	<i>]</i> 10.		37 CFR 3.73(b) St (when there is an	tatement	X Power of Attorney
5. Oath or Declaration	[Total Pages		1 11.		English Translation	n Document (if	applicable)
a. Newly Execute	ed (original or copy)		12.		Information Disclos Statement (IDS)/P		Copies of IDS Citations
b. X Copy from a p.	rior application (37 CFR §1.63(d)) on/divisional with Box 18 completed	d)	13.		Preliminary Amend	dment	
			14.	X	Return Receipt Po (Should be specific		503)
	ventor(s)	15.	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)				
	ned in the prior application, 37 CFR 1.63(d)(2) and 1.33(b).		16.		Nonpublication Re	equest under 35	5 U.S.C. 122(b)(2)(B)(i). SB/35 or its equivalent.
6. Application Data Shee		17.		Other:	2011011117107	OB/00 OF Its equivalent.	
40 15 - 00171111110 477110	ATION		<u> </u>			·	
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:							
☑ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09//992,817.							
Prior application information: Examiner Brent Swarthout Group / Art Unit: 2632 For CONTINUATION OR DIVISIONAL APPS only: the entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the							
disclosure of the accompanying continual inadvertently omitted from the submitted a	tion or divisional application and is her	reby incorpora	ated by refe	n an oau rence. T	his incorporation is sup	only be relied u	pon when a portion has been
The state of the s	18. CORRI	ESPOND	ENCE A	DDRE	SS		
Customer Number:		504			OR _	Correspond	lence address below:
NAME Scott A. H	lorstemeyer						
Thomas, Kayden, Horstemeyer & Risley, L.L.P.							
ADDRESS 100 Galleria Parkway Suite 1750							
CITY Atlanta	Georgia	orgia ZIP CODE 30339-594			30339-5948		
COUNTRY U.S.A. TELEPHONE			-9500			FAX	770-951-0933
Name (Print/Type)	er,		Regis	stration No. (Atto	mey/Agent)	34,183	
Signature	LOU	Q_	N.	<u> </u>	Date	1916/03	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 1.22 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, Patent and Trademark Office. P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Jones

For:

Advance Notification System and Method Utilizing Vehicle Signaling

CERTIFICATE OF EXPRESS MAIL

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

Enclosed for filing in the above case are the following documents:

Return Postcard
Utility Patent Application Transmittal Page
Fee Transmittal Page
Utility Patent Application Consisting Of:

21 Pages of Specification

3 Pages of Claims (Claims 1-8)

1 Page of Abstract

7 Pages of Formal Drawings (Fig(s). 1-7) Declaration and Power of Attorney (Copy)

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

Respectfully submitted,

Scott A. Horstemeyer, Reg. No. 34,183

FHOMAS, KAYDEN, HORSTEMEYER & RISLEY, L.L.P.

amplell

100 Galleria Parkway, N.W.

Suite 1750

Atlanta, Georgia 30339-5948

Our Docket No: 050119-1072

I hereby certify that all correspondence listed above are being deposited for delivery to the above addressee, with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR §1.10 on the date indicated below:

The envelope has been given U.S. Postal Service "Express Mail Post Office To

Addressee Package # EV269331475US.

Julie Campbell

PTO/SB/17 (10-03)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Complete If Known

FEE TRANSMITTAL

TLL TRANSIVIT TAL	App	Application Number						
for FY 2004	Filir	Filing Date		October 16, 2003				
Effective 10/01/2003. Patent fees are subject to annual revisions.	Firs	First Named Inventor			Martin Kelly Jones			
66		Examiner Name						
Applicant claims small entity status. See 37 CFR 1.27		Group / Art Unit						
OTAL AMOUNT OF PAYMENT (\$) 643.00	Atto	orney Docl	ket No.		050119-	<u> 1072</u>		
METHOD OF PAYMENT (check all that apply)	T	FEES CALCULATION (continued)						
Check Credit Card Money Other None		3. ADDITIONAL FEES						
Order Deposit	Large Fee	Entity Fee	Small E	ntity Fee	Fee Description	n Fee Paid		
Account	Code 1051	(\$)	Code	(\$)	·			
	li i	130	2051	65	Surcharge-late filing fee oath			
Deposit Account Number	1052	50	2052	25	Surcharge-late provision filing fee or cover sheet	ial		
20-0778	1053	130	1053	130	Non-English specification			
Deposit Account	1812	2,520	1812	2,520	parte reexamination			
Name Thomas, Kayden, Horstemeyer Risley, L.L.P.	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner ac	of etion		
The Commissioner is authorized to: (check all that apply)	1805	1,840*	1805	1,840*	Requesting publication of	of		
☐ Charge fee(s) indicated below ☐ Credit any overpayments	1251	110	2251	55	SIR after Examiner actio Extension for reply within			
Charge any additional fee(s) during the pendency of this application	1252	420	2252	210	month Extension for reply within	n		
Charge fee(s) indicated below, except for the filing fee to the above- identified deposit account	1253	950	2253	475	second month Extension for reply withir	n		
FEE CALCULATION	1254	1,480	2254		third month Extension for reply within	1		
BASIC FILING FEE Large Entity Small Entity	- 11	•			fourth month	1		
Fee Fee Fee Fee Fee Fee Fee Paid	1255	2,010	2255	1,005	Extension for reply within month	n fifth		
Code (\$) Code (\$) Fee Description	1401	330	2401	165	• •			
1001 770 2001 385 Utility Filing Fee 385.00	1402	330	2402	165	Filing a brief in support of appeal	of an		
1002 340 2002 170 Design Filing Fee	1403	290	2403		Request for oral hearing			
1003 530 2003 265 Plant Filing Fee	1451	1,510	1451	1,510	Petition to institute a pub use proceeding	olic		
1004 770 2004 385 Reissue Filing Fee	1452	110	2452	55	Petition to revive- unavoidable			
1005 160 2005 80 Provisional Filing Fee	1453	1,330	2453	655	Petition to revive- unintentional			
SUBTOTAL (1) (\$)385.00	1501	1,330	2501	665	Utility issue fee (or reissu	ue)		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1502	480	2502	240	Design issue fee			
Extra Fee Fee Claims From Paid	1503 1460	640 130	2503 1460	320 130	Plant issue fee Petitions to the			
Total Claims 8 - 20**= 0 X 9.00 = 0	ll l				Commissioner			
Independent 6 - 3** = 3 X 43.00 = 258.00	1807	50	1807	50	provisional application			
Claims	1806	180	1806	180	Submission of Information Disclosure Stmt	on .		
Dependent	8021	40	8021	40	Recording each patent assignment per property			
Large Entity Small Entity Fee Fee Fee Fee Description	1809	770	2809	205	(times number of proper Filing a submission after	ties)		
Code (\$) Code (\$)	li li				rejection (37 CFR § 1.12	(9(a))		
	1810	770	2810		For each add, invention to examined (37 CFR 1.129)			
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)			
1204 86 2204 43 **Reissue independent claims over	1802	900	1802	900	Request for expedited ex of a design application	xam.		
original patent 1205 18 2205 9 **Reissue claims in excess of 20	0,5	ina lancair) A		o. a acaign application			
and over original patent	Other	ee (specify	')		SUBTOTAL (3)	(\$) 0		
SUBTOTAL (2) (\$)258.00					``[(4) 4		
**or number previously paid, if greater; For Reissues, see	*Reduc	*Reduced by Basic Filing Fee Paid						
ABOVE SUBMITTED BY					Complete (if a	policable)		
Coott A Harrison	Rea	istration No	34.183		Telephone -	770-933-9500		
Typed or Printed Name Scott A. Horstemeyer Signature			- ,,		TVUITIDE!			
- ALAMAD	- ^					Oct. 16, 2003		
- COVIDED TO	\mathcal{A}							
					<u> </u>			